| ltem                                                            | Regulator | Reference         | Deemed Significant | Timeframe        | Method of Reporting       | Comments                                                                                                                                                   |
|-----------------------------------------------------------------|-----------|-------------------|--------------------|------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Business Contact Details                                        |           |                   |                    |                  |                           |                                                                                                                                                            |
| Change of AFSL contact details (address, phone, email, website) | ASIC      | CR 7.6.04(1)      | No                 | 10 business days | Licensee Portal Form FS20 | May also need to notify via <b>ASIC Connect</b> for business names and <b>Officeholders</b><br><b>Portal</b> (Form 484) for company changes within 28 days |
| Change of AFSL contact person                                   | ASIC      | CR 7.6.04(1)      | No                 | 10 business days | Licensee Portal Form FS20 |                                                                                                                                                            |
| Change of Responsible Manager                                   | ASIC      | CR 7.6.04(1)      | No                 | 10 business days | Licensee Portal Form FS20 | Add, remove, change authorisations / role                                                                                                                  |
| Change of Key Person                                            | ASIC      | Licence Condition | Yes                | 5 business days  | Licensee Portal Form FS03 | Significant cost for variation - also FS20 if changing Responsible Managers                                                                                |
| Business Structure / Activities                                 |           |                   |                    |                  |                           |                                                                                                                                                            |
|                                                                 |           |                   |                    |                  |                           |                                                                                                                                                            |



## WRIGHT COMPLIANCE MANAGEMENT